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Dkt. 0980/65686

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Zuhua ZHU, et al.

Serial No. : 09/927,802

Group Art Unit: 2881

Date Filed : August 10, 2001

Examiner:

For : OPTICAL COMMUNICATIONS SYSTEM AND  
VERTICAL CAVITY SURFACE EMITTING LASER THEREFOR

1185 Avenue of the Americas  
New York, N.Y. 10036

Assistant Commissioner for Patents  
**Box Missing Parts**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF**  
**APPLICATION-FILING DATE GRANTED**  
**AND TRANSMISSION OF ASSIGNMENT FOR RECORDATION**

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated September 14, 2001, applicants hereby transmit (i) copy of the PTO Notice, (ii) Declaration and Power of Attorney, and (iii) check for \$65 surcharge for late filing of the Declaration.

Applicants also transmit for recordation (iv) an Assignment of this invention to Gazillion Bits, Inc., (v) Recordation Form Cover Sheet (Form PTO-1595), and (vi) check for the \$40 recordation fee.

I hereby certify that this paper is being deposited this date with the  
U.S. Postal Service as first class mail addressed to the Assistant  
Commissioner for Patents, Washington, D.C. 20231.

*Ivan S. Kavrukov*

Ivan S. Kavrukov  
Reg. No. 25,161

*Nov 13, 2001*  
Date

The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to our Deposit Account No. 03-3125.

If a petition for an extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our Deposit Account No. 03-3125.

Respectfully submitted,



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Ivan S. Kavrukov, Reg. No. 25,161  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,802	08/10/2001	Zuhua Zhu	0980/65686

CONFIRMATION NO. 6317

FORMALITIES LETTER



\*OC000000006556608\*

Ivan S. Kavrukov  
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1185 Avenue of the Americas  
New York, NY 10036

Date Mailed: 09/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**


**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202  
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